



**PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT**

**Mitchell Thompson**

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**SUPERVISION**

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This Brochure Supplement provides information about Mitchell Thompson that is an accompaniment to the Disclosure Brochure for our firm, Cambridge Investment Research Advisors, Inc (CIRA). You should have received both of these together as a complete disclosure packet. If you did not receive our Disclosure Brochure or if you have questions about this Brochure Supplement for Mitchell Thompson, you are welcome to contact us through the information listed to the left.

Additional information about Mitchell Thompson is available on the SEC website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Please be aware that not all states require registration and therefore your Advisor may not show up on the SEC website.

**Mitchell Thompson**

**CFP®**

CRD#: 4272184  
Year of Birth: 1978

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Education**

Jamestown College, B.A. - Economics and Personal Financial Services, 2000

**Business Background**

Investment Advisor Representative, Cambridge Investment Research Advisors, Inc,  
July 2014 To Present

Financial Advisor, GEN Financial Management, Inc.,  
March 2012 To June 2014

Registered Representative, Woodbury Financial Services,  
November 2008 To March 2012

Insurance, MJT & Associates,  
May 2006 To Present

## **PROFESSIONAL DESIGNATIONS**

Your Advisor has achieved the designations below. If you would like additional information you may discuss with your advisor or visit the issuing entities website.

### **CFP®-Certified Financial Planner**

Individuals certified by CFP® Board have taken the step to demonstrate their professionalism by voluntarily submitting to the CFP® certification process that includes thorough education, examination, experience and ethical requirements. The CFP® is issued by the Certified Financial Planner Board of Standards, Inc. Pre-requisites require a designee to hold a Bachelor's degree (or higher) from an accredited college or university as well as three years of full-time personal financial planning experience. The designee is then required to complete a CFP® board registered program, or hold one of the following designations; CPA, ChFC, CLU, CFA, PH.D. in business or economics, Doctor of Business Administration, or Attorney's License. The designee is then required to complete the CFP® certification examination. In addition, the designee is required to complete 30 hours of continuing education every two years.

## **DISCIPLINARY INFORMATION**

Mitchell Thompson has no legal or disciplinary events to report.

There are certain business activities in which an investment advisor representative may engage that present potential conflicts of interest. If applicable, additional disclosure relevant to your Advisor's outside business activities are outlined below. Please note that these are potential conflicts of interest and it is your Advisor's fiduciary duty to act in your best interest. If you have any questions about the disclosures please ask your Advisor as this is an opportunity to better understand your relationship and your Advisor's activities.

## **ADDITIONAL COMPENSATION**

In addition to the description of other business activities outlined above, your Advisor may receive additional benefits from CIRA when assets are held through investment management platforms offered by CIRA, which may include CIRA's CAAP program(also described in CIRA's Disclosure Brochure). The benefits received are in addition to the advisory fees received by your Advisor for serving as the investment advisor representative to the client's account. These benefits include but are not limited to discounts on performance reporting software and participation in conferences.

Certain product sponsors may provide your Advisor with economic benefits as a result of your Advisor's recommendation or sale of the product sponsors' investments. The economic benefits received can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist your Advisor in providing various services to clients. These economic benefits may be received directly by your Advisor or indirectly through CIRA and/or Cambridge Investment Research, Inc. who have entered into specific arrangements with product sponsors. These economic benefits could influence your advisor to recommend certain products/programs over others. Please review the CIRA and Cambridge Revenue Sharing Disclosure located at [www.cir2.com](http://www.cir2.com) for further information. It is also available upon request.

Your Advisor's investment advisory activities are supervised by Thad A. Johnson. Thad A. Johnson monitors the recommendations provided by your Advisor and any transactions that are executed in your advisory accounts. Supervision is conducted through electronic reporting as well as personal communications and visits with your Advisor.